2022 UC Open Access Fund Benchmarking Survey Results

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Survey background

- Why this survey?
- February 2022
- Responses from all ten UC libraries

Survey categories

1. Fund history and current status
2. Policies
3. Budget
4. Staffing & resources

Fund history and current status

	Fund before seed funding?	Use of 2012 seed funding from CDL*	Current OA fund?	Current fund active since
UCB	Yes	Other	Yes	January 2008
UCD	Uncertain	Yes, continuous	Yes	November 2012
UCI	Yes	Yes, and replenished through 2014	No	
UCLA	No	Other	No	
UCM	No	Yes, until it ran out	No	
UCR	Yes		No	
UCSB	Uncertain	Uncertain	Yes	July 2016
UCSC	No	Yes, until it ran out	No	
UCSD	No	Yes, and replenished for 3 years	Yes**	2016
UCSF	No	Yes, and replenished for 2 years	Yes***	May 2015

Campus reasons for not having a subvention fund

Campuses answering "no"	Reason for not planning to set up an APC- or BPC-based fund
UCI	Experience with the 2013-14 pilot suggested that the amount of money would not cover the anticipated number of requests. When the fund was supplemented and still ran out the fund was closed.
UCLA	We thought it was not a good return on investment for APCs. We have [been] supporting Book/OER funding in recent years.
UCM	The library would certainly be interested, but it would take external support that just is not there. Establishing [an] APC/BPC fund is not something that campus leadership considers a priority.
UCR	Cost and administrative overhead
UCSC	UCSC is investing in the systemwide approaches to OA through transformative agreements, publisher discounts, subscribing to open access books, and UC OA policies for green OA in eScholarship.

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	UCB	UCD	UCSB	UCSD	UCSF
Scholarly articles cap	$2500	$1000	No cap		$2000
# of articles funded	83	291	55		93
Book cap	$10,000	$15,000		$5000	$5000
# of books funded	3	4		2	
Book chapter cap	$2500	$1000			$2000
# of chapters funded		5			
OER cap	$5000				
# OERs funded					

Campuses with current funds: categories funded, caps per category, and # of funded publications

Who can apply for funds & Support for marginalized researchers

- What categories of individuals may apply for funding?
- Does your fund support marginalized researchers and scholars(based on race, gender, sexual orientation, ability, discipline, career-stage, or otherwise) in any way?

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Trends observed over time

- All campuses have seen an increase in applications
- Faculty and students are increasingly interested in local support for OA
  - UCSB and UCSF mentioned interest from students in particular
- It can take a while for demand to build
- Hybrid OA is not supported, but applications are still submitted
- Transformative agreements have led to an increase in applications (for journals covered by the TA)

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What adjustments have been made?

- No more funding for hybrid OA journal articles (UCB, UCSF)
- Increased fund allocation (UCSB, $100K to $150K)
- Increased BPC cap (UCB, $7.5K to $10K)
- Temporary decrease to APC cap (UCSF, to $1000)
- Modifications to author position and author status for applications (UCSF)
- Temporary requirement for CC BY or CC BY-NC license (UCSF)
- Exclusion of journals covered by a TA (All campuses)

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Budget amount, source & stability

Campus	Budget	Source	Stable?	Redirect funds
UCB	No set budget, annual spend is $100-$150K	multi-year philanthropic grant that supports the library's general fund	Yes	
UCD	$175K (overages are accommodated; $271K spent in 2020-21)	Library/Collections fund	Yes	
UCSB	$150K	Library/Collections fund	No	
UCSD	$20K	Library/Collections fund	Uncertain	Uncertain
UCSF	$80K	Library/General fund	Uncertain	Uncertain

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Staffing and time spent

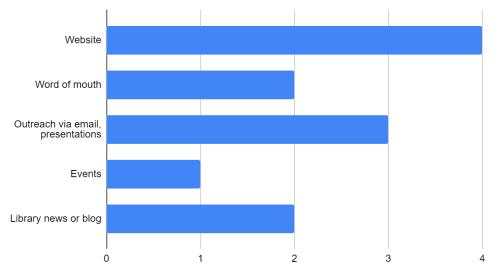| Campus	Headcount	Hours monthly	Position titles
UCB	5	14	● Scholarly Communication & Copyright Librarian ● Circulation Supervisor ● Library business office personnel ● Scholarly Communication Officer
UCD	2	45 (estimated)	● Scholarly Communications Officer ● Financial Services Assistant ● Head of Collection Strategy
UCSB	3	10-12 (estimated)	● Scholarly Communication Librarian ● Library Business Manager ● AUL for Research & Learning
UCSD	1	15-20	● Scholarly Communication Librarian
UCSF	5	126	● Library Assistant 3 (two positions) ● Library Assistant 4 ● Head of Scholarly Communication ● Administrative/Finance Manager ● AUL for Research & Learning

Fund promotion and outreach

How do you promote your fund?

Assessment

- UCD - annual report to Library Collection Strategy Group and UL
- UCSF - surveyed fund recipients a couple time; annual reports; demographic analysis of recipients in preparation for eligibility modifications
- Other three campuses - no regular assessment practice in place

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